



## ROCKWELL AUTOMATION MODERN SLAVERY STATEMENT 2017

---

This statement is made pursuant to Section 54 of the Modern Slavery Act 2015 and sets out the steps that Rockwell Automation UK Ltd. has taken and continues to take to mitigate the risk of modern slavery or human trafficking taking place within our business or supply chain.

---

### OUR BUSINESS

Rockwell Automation, Inc. is the world's largest company dedicated to industrial automation and information, making its customers more productive and the world more sustainable. Rockwell Automation, Inc. is the parent company for groups of companies doing business as Rockwell Automation, including Rockwell Automation UK Ltd. With operations that extend to over 80 countries worldwide, our flagship Allen-Bradley and Rockwell Software product brands are recognized for innovation and excellence. Rockwell Automation is dedicated to conducting itself with the utmost integrity and ethical values, as well as in accordance with all relevant laws across the globe. We expect all of our suppliers to conduct themselves with these same values.

---

### OUR POLICIES

Rockwell Automation is committed to the highest standards of ethics and compliance as outlined in our [Code of Conduct](#). We have robust and monitored policies in place that aim to prevent and condemn modern slavery and human trafficking in our business and supply chains. Our [Global Policy on Social Responsibility and Sustainability](#) explicitly condemns all forms of compulsory labor, exploitative child labor, and exploitative working conditions. Our Code of Conduct requires reporting of any suspected violation of these policies, and we have a long history of open reporting through our Ombudsman Hotline and other channels. These policies apply to all employees and contractors. Non-compliance with these policies is taken seriously, and we are committed to ensuring corrective measures are in place.

For more information about Rockwell Automation's commitment to ethics and integrity, please click the hyperlinks above.

---

### OUR TRAINING

Rockwell Automation trains employees annually on the importance of problem reporting and resolution. We require employees to report any activity that might be in violation of our standards of business conduct, including violations of our policies prohibiting human trafficking under our Global Policy on Social

Responsibility and Sustainability, our Code of Conduct, and our Supplier Code of Conduct (see below). Employees and non-employees can report concerns to our Ombudsman (anonymously, if desired) by toll-free phone, fax, regular mail, email or a web-based tool. The options for how to contact the Ombudsman are available on our public website.

Rockwell Automation will provide training on identifying human trafficking and forced labor to a targeted group of employees.

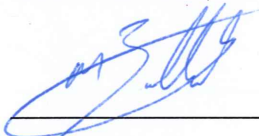
---

## OUR SUPPLIERS

Our global [Supplier Code of Conduct](#) is mandatory in every supply agreement. Our Supplier Code of Conduct has clear rules around labor, including a prohibition against forced, bonded (including debt bondage) or indentured labor, involuntary prison labor, and/or slave labor. It also prohibits human trafficking, including transporting, harboring, recruiting, transferring or receiving vulnerable persons by means of threat, force, coercion, abduction or fraud for the purpose of exploitation. Finally, the Supplier Code of Conduct requires that our suppliers have a program that enables workers to report violations of the law and the Supplier Code of Conduct. Suppliers are required to hold their suppliers and sub-contractors to the same Rockwell Automation standards as listed in this statement. Additionally, our suppliers have access to the Ombudsman Hotline to report any suspected instances of misconduct.

In conducting general due diligence, we have not found any areas of our business or supply chain to pose a significant risk for human trafficking or modern slavery. We are in the process of implementing process changes to our pre-qualification and audit processes for our suppliers so we can identify and take steps to reject all forms of slavery and human trafficking. We conduct site visits and audit under our supply agreements with our vendors.

### APPROVAL FOR THIS STATEMENT:



6/7/17.

---

Mark Bottomley  
UK Sales Director