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**Sustainable Banking Global Survey:**

**Organizations with Sustainable Banking Programs and Resources[[1]](#footnote-1)**

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**Introduction**

The purpose of this survey is to provide examples of environmental, social and governance (ESG) policies and programs promoted by banking organizations, banking programs of national governments, banking programs of international organizations, banking programs of institutional investors, and related organizations worldwide.

**International Organizations**

**UNEP Finance Initiative**

Geneva

* UNEP report: *Aligning the Financial System with Sustainable Development* (January 2015)

<http://www.unep.org/inquiry/>

* CISL, UNEP FI report: *Stability and Sustainability in Banking Reform: Are Environmental Risks Missing in Basel III?* (October 2014)

<http://www.unepfi.org/work-streams/banking/>

<http://www.cisl.cam.ac.uk/Resources/Publications-and-Downloads.aspx>

* Online Training: *Climate Change: Risks and Opportunities for the Finance Sector* (since 2007; 2013)
* <http://www.unepfi.org/training/climate_change>
* UNEP FI Climate Change Working Group

report: *Energy Efficiency and the Finance Sector* (January 2009)

<http://www.unepfi.org/fileadmin/documents/Energy_Efficiency.pdf>

* UNEP FI training program for equity and debt markets (since 2005)

<http://www.unepfi.org/training/risk_workshop/index.html>

* UNEP FI launches Environmental Risk in Sovereign Credit program (November 2012)
* <http://www.footprintnetwork.org/en/index.php/GFN/page/environmental_risk_in_sovereign_credits_e-risc>
* report: *UNEP FI Guide to Sustainable Banking* (October 2011)

<http://www.unepfi.org/work_streams/banking/statements/index.html>

*Online Guide to Banking & Sustainability* (November 2013)

<http://www.unepfi.org/bankingguide/>

* *Natural Capital Declaration*:
* UNEP Finance Initiative, Global Canopy Programme and Center for Sustainable Studies (Brazil) invite financial institutions to sign *Natural Capital Declaration* (October 2011)
* <http://www.naturalcapitaldeclaration.org/>
* report: *UNEP FI Banking on Value: A New Approach to Credit Risk in Africa* (2007)

pdf: <http://www.unepfi.org/fileadmin/documents/banking_on_value.pdf>

* UNEP FI and Germany’s VfU: activities, roundtables, other collaboration since 2005
* <http://www.unepfi.org/regional_activities/europe/german/>

**UNEP Finance Initiative Banking Commission**

Geneva

- <http://www.unepfi.org/about/structure/steering_committee/index.html>

- <http://www.unepfi.org/work_streams/banking/index.html>

**Basel Agency for Sustainable Energy**

UNEP Collaborating Centre

Basel

<http://www.energy-base.org/>

**UN Global Compact**

New York

- report: *Future Proof? Embedding environmental, social and governance issues in investment markets* (January 2009)

- <http://www.unglobalcompact.org/NewsAndEvents/news_archives/2009_01_28.html>

report: *Towards a New Era of Sustainability in the Banking Industry* (May 2011)

* <http://www.accenture.com/us-en/Pages/insight-ungc-banking-industry-report-summary.aspx>
* <http://www.unglobalcompact.org/docs/news_events/8.1/UNGC_Accenture_Banking.pdf>

Turkey:UN Global Compact and Local Network Turkey

Sustainable Banking Programme

Amsterdam; March 2013

<http://www.unglobalcompact.org/news/314-03-29-2013>

**Principles for Responsible Investment**

London, Geneva

<http://www.unpri.org/>

**International Finance Corporation**

Washington, DC

<http://www.ifc.org>

- Performance Standards

<http://www.ifc.org/wps/wcm/connect/topics_ext_content/ifc_external_corporate_site/ifc+sustainability/publications/publications_handbook_pps>

**-** IFC’s Global Corporate Governance Forum

- Washington, DC

- <http://www.gcgf.org/>

report: *Governing Banks*; November 2010

- <http://www.gcgf.org/>

* report: *Strengthening Risk Governance in Banks*; June 2011
* <http://www.gcgf.org/>
* report: *Corporate Governance for Banks in Southeast Europe* (January 2012)
* <http://www.gcgf.org/>
* report: *Guidance for the Directors of Banks* (September 2013)
* <http://www.gcgf.org/>
* IFC’s Global Trade Finance Program
* <http://www.ifc.org/>
* IFC’s Latin American Network of Corporate Governance Institutes
* <http://www.gcgf.org/>
* IFC, China launch Forum to Support Sustainable Banking in Emerging Markets (May 2012)

<http://www.ifc.org/IFCExt/pressroom/IFCPressRoom.nsf/0/15889EB4F2A5FFC385257A0000287051?OpenDocument>

* IFC launches Sustainable Banking Network for Regulators to Share Green Credit Expertise (December 2012)

<http://www.ifc.org/ifcext%5Cpressroom%5CIFCPressRoom.nsf%5C0%5CA8B99198EAF19FC585257ACC007345DF>

* IFC Sustainable Banking Network: FIRST for Sustainability
* <http://firstforsustainability.org/>

**UN Secretary-General’s High-Level Advisory Group on Climate Change Financing**

New York

**-** <http://www.un.org/wcm/content/site/climatechange/pages/financeadvisorygroup>

**International Monetary Fund**

Washington, DC

IMF staff note on carbon pricing (September 2014)

<http://blog-imfdirect.imf.org/2014/09/17/carbon-pricing-good-for-you-good-for-the-planet/>

IMF report: *Energy Subsidy Reform: Lessons and Implications* (January 2013)

pdf: <http://www.imf.org/external/np/pp/eng/2013/012813.pdf>

Rio 2012, UN Conference on Sustainable Development

<http://www.imf.org/external/np/seminars/eng/2012/rio/index.htm>

The IMF and Good Governance

<http://www.imf.org/external/np/exr/facts/gov.htm>

IMF Work on Fiscal Policy and Climate Change

<http://www.imf.org/external/np/seminars/eng/2012/rio/pdf/fiscal.pdf>

Staff Position Note *Financing the Response to Climate Change* (March 2010)

- <http://www.imf.org/external/pubs/ft/spn/2010/spn1006.pdf>

IMF report: *Managing Natural Resource Wealth* (November 2010)

<http://www.imf.org/external/np/otm/2010/110110.pdf>

IMF Natural Resource Trust Fund (December 2010)

<http://www.imf.org/external/np/sec/pr/2010/pr10497.htm>

*The Greening of Markets*, Paul Mills, Finance & Development (March 2008)

<http://www.imf.org/external/pubs/ft/fandd/2008/03/pdf/mills.pdf>

IMF Institute

<http://www.imf.org/external/np/ins/english/index.htm>

IMF Cosgrove Prize for Ethics in Finance

<http://www.robincosgroveprize.org/>

**Bank for International Settlements**

Basel

-<http://www.bis.org/>

invites comments on corporate governance for banks (October 2014)

<http://www.bis.org/press/p141010.htm>

BCBS Charter (January 2013)

<http://www.bis.org/bcbs/charter.htm>

Central Bank Governance Forum

<http://www.bis.org/about/cbgov.htm>

Committee on the Global Financial System

<http://www.bis.org/cgfs/index.htm>

Basel Committee on Banking Supervision releases final rules for bank capital disclosure (June 2012)

<http://www.bis.org/press/p120626.htm>

report: *Enhancing Corporate Governance for Banking Organizations* (February 2006)

<http://www.bis.org/publ/bcbs122.pdf>

- report: *Principles for enhancing corporate governance* (October 2010)

- <http://www.bis.org/publ/bcbs176.htm>

commentary: <http://socialfunds.com/news/article.cgi/3105.html>

* report: *Principles for the Sound Management of Operational Risk* (June 2011)
* <http://www.bis.org/publ/bcbs195.pdf>
* central bank research
* <http://www.bis.org/cbhub/list/jel_classifications/index.htm>
* Financial Stability Institute
* <http://www.bis.org/fsi/aboutfsi.htm>
* report: *Core Principles for Effective Bank Supervision* (September 2012)
* <http://www.bis.org/publ/bcbs230.pdf>
* <http://www.bis.org/publ/bcbs230.htm>
* report series on property price statistics (program since 2009)
* <http://www.bis.org/statistics/pp.htm>

**Financial Stability Board** (BIS, IOSCO, IAIS)

Basel

Financial Stability Board’s Enhanced Disclosure Task Force (March-October 2012)

report: *Enhancing the Risk Disclosures of Banks* (October 2012)

<http://www.financialstabilityboard.org/press/pr_121029.pdf>

background: *FSB Transparency Initiatives*; Gerald A Edwards, Jr. (May 2012)

<http://www.bde.es/webbde/GAP/Secciones/Publicaciones/InformesBoletinesRevistas/RevistaEstabilidadFinanciera/12/May/Fic/ref2012223.pdf>

Financial Stability Board releases *Global Adherence to regulatory and supervisory standards on international cooperation and information exchange* (November 2012)

<http://www.financialstabilityboard.org/publications/r_121102a.pdf>

Joint Forum with BIS, IOSCO, IAIS (since 1996)

<http://www.bis.org/bcbs/jointforum.htm>

Public Interest Oversight Board (since 2005)

<http://www.iosco.org/library/pubdocs/pdf/PIOBPD3.pdf>

**European Banking Authority** (since January 2011)

London

<http://www.eba.europa.eu/>

**European Union**

Brussels

* Regulatory Capital Program of the European Commission (proposed July 2011)
* <http://ec.europa.eu/internal_market/bank/regcapital/index_en.htm>

**APEC: Asia Pacific Economic Cooperation Forum**

Singapore

report: *Workshop on Strengthening Corporate Governance Within Financial Institutions* (2004)

<http://publications.apec.org/publication-detail.php?pub_id=466>

**OECD**

Paris

OECD program on automatic exchange of tax information (since 2012)

<http://www.oecd.org/ctp/exchange-of-tax-information/automaticexchange.htm>

MENA Task Force on Corporate Governance of Banks

<http://www.oecd.org/mena/investment/bankgovernance>

**Council of Europe**

Strasbourg

ethical finance programs in Europe, listed by country

<http://www.coe.int/t/dg3/socialpolicies/platform/observatory/pracfinsol_EN.asp>

**Global Banking Networks**

**Equator Principles Association** (since 2003)

- <http://www.equator-principles.com/>

Equator Principles Implementation Note (July 2014)

<http://www.equator-principles.com/index.php/all-news-media/ep-association-news/384>

Equator Principles III, May 2013

<http://www.equator-principles.com/index.php/all-news-media/ep-association-news/335>

Biodiversity For Banks Program

<http://www.equator-principles.com/index.php/best-practice-resources/b4b>

**Alliance for Financial Inclusion** (since 2009)

Bangkok

<http://www.afi-global.org/>

central bank members: <http://www.afi-global.org/afi-network>

Maya Declaration (2011): <http://www.afi-global.org/gpf/maya-declaration>

**Care International UK, Plan UK, Barclays**

London

report: *Banking on Change: Breaking the Barriers to Financial Inclusion* (January 2013)

[http://insights.careinternational.org.uk/publications**/**](http://insights.careinternational.org.uk/publications/)

**Global Alliance for Banking on Values**

Zeist

The Netherlands

- <http://www.gabv.org/>

**-** <http://www.rockefellerfoundation.org/news/press-releases/rockefeller-foundation-funding-develop>

GABV’s *Berlin Declaration 2013*

<http://www.gabv.org/wp-content/uploads/130312_GABV_Berlin_Declaration_EN_final.pdf>

report: *Sustainable Banks Outperform World’s Big Banks* (press release, February 2012)

<http://global-alliance-for-banking-on-values.pressdoc.com/>

report: *Strong, Straightforward and Sustainable Banking*; March 2012

<http://cdn.pressdoc.com/26740/documents/16465-1331196454-GABV%20Summary%20final.pdf>

report: *Strong and Straightforward: The Business Case for Sustainable Banking* (November 2012)

<http://www.gabv.org/wp-content/uploads/GABV-Report_Strong-and-Straightforward_The-Business-Case-for-Sustainable-Banking1.pdf>

report: *Real Economy – Real Returns: The Business Case for Sustainability Focused Banking* (October 2014)

<http://www.gabv.org/wp-content/uploads/Real-Economy-Real-Returns-GABV-Research-2014.pdf>

**Global Banking Alliance for Women**

Brooklyn, NY

<http://www.gbaforwomen.com/>

Member Institutions

<http://www.gbaforwomen.com/index.php/about-gba/member-institutions>

**International Association of Social Finance Organizations**

Brussels

- <http://www.inaise.org/>

**Thun Group of Banks**

2013 report:

<http://www.business-humanrights.org/media/documents/thun-group-discussion-paper-final-2-oct-2013.pdf>

**Global Reporting Networks**

**Global Reporting Initiative: Financial Services Sector**

Amsterdam

<https://www.globalreporting.org/reporting/sectorguidance/sector-guidance/financial-services/Pages/default.aspx>

**Climate Disclosure Project** (Carbon Disclosure Project)

London

- banks, other investors: <https://www.cdproject.net/en-US/Programmes/Pages/CDP-Investors.aspx>

**The Climate Group**

London

<http://www.theclimategroup.org/>

**International Integrated Reporting Council**

<http://www.theiirc.org/>

**Global Networks on Climate Due Diligence**

**The Climate Principles**

London, New York, other locations

- <http://www.theclimategroup.org/>

**The Carbon Principles**

New York

- <http://carbonprinciples.org/>

**International Chamber of Commerce**

Paris

* ICC Commission on Climate Change and Energy
* <http://www.iccwbo.org/policy/environment/>
* report: *ICC Public Policy Roadmap on Finance and Climate Change* (November 2010)
* <http://www.iccwbo.org/policy/environment/>

**Global Banking Due Diligence**

**Bankers Accuity**

East Grinstead

United Kingdom

<http://www.bankersaccuity.com/>

**International Chamber of Commerce**

Paris

* ICC Commission on Banking
* <http://www.iccwbo.org/policy/banking/>

**Wolfsberg Group (11 global banks)**

Switzerland

* Correspondent Banking Relationships:
* <http://wolfsberg-principles.com/corresp-banking.html>
* principles:*Wolfsberg Trade Finance Principles*; March 2011

<http://www.wolfsberg-principles.com/pdf/WG_Trade_Principles_Paper_II_Final_11-03-11.pdf>

**Basel Institute on Governance**

Basel

* Basel AML Index
* <http://www.baselgovernance.org/gov/>

**Global Banking Service Providers**

**SWIFT: Society for Worldwide Financial Telecommunications**

LaHulpe

Belgium

<http://www.swift.com/>

SWIFT Sanctions Screening

<http://www.swift.com/products_services/by_type/applications/treasury/sanctions_screen>

SWIFT Sanctions Initiative

<http://www.swift.com/resources/documents/sofe2011_Sanctions.pdf>

SWIFT Sanctions; Iran

<http://www.swift.com/news/press_releases/SWIFT_disconnect_Iranian_banks>

Central Bank (G-10) Oversight

<http://www.swift.com/about_swift/company_information/governance/oversight_of_swift.page>

SWIFT Corporate Rules

<http://www.swift.com/about_swift/legal/corporate_matters/swift_corporate_rules.page>

SWIFT Online Dialogue

<http://www.dialogueonline.info/>

**ISO (International Organization for Standardization)**

Geneva

Finance, Banking, Insurance

<http://www.iso.org/iso/products/standards/catalogue_ics_browse.htm?ICS1=03&ICS2=060&>

**Networks for Banking Research and Advocacy**

**BankTrack**

Nijmegen

The Netherlands

<http://www.banktrack.org/>

**Blog**

<http://blog.banktrack.org/>

report: *Banking with Principles?* (December 2014)

<http://www.banktrack.org/show/news/banks_not_yet_living_up_to_un_human_rights_principles_finds_banktrack>

* report: *An Introduction to the Brazilian Banking Sector and its Sustainability Practices* (December 2012)

<http://www.banktrack.org/show/news/an_introduction_to_the_brazilian_banking_sector_and_its_sustainability_practices>

report: *China Development Bank’s overseas investments: An assessment of environmental and social policies and practices* (July 2012)

<http://www.banktrack.org/show/news/new_report_details_risks_of_china_development_bank_s_increasing_global_footprint>

report: third annual Coal *Finance Report Card*, April 2012

pdf: <http://ran.org/sites/default/files/ran_coal_finance_reportcard_2012_web.pdf>

report: *Bankrolling Climate Change*, December 2011

<http://www.banktrack.org/show/news/bankrolling_climate_change>

- report: *Close the Gap*; April 2010

- <http://www.banktrack.org/show/focus/close_the_gap>

* report: *The Outside Job, Turning the Equator Principles towards people and planet*, October 2011

<http://www.banktrack.org/download/the_outside_job/111021_the_outside_job_final.pdf>

**Better Markets (**promoting financial markets reform since 2010)

Washington, DC

<http://www.bettermarkets.com/>

**Center for Financial Services Innovation**

Chicago, New York, Washington, DC

<http://cfsinnovation.com/>

*Compass Principles*: <http://cfsinnovation.com/content/compass-principles>

Conservation Finance Network, based at Island Press (since 2013)

Washington, DC

<http://islandpress.org/conservation-finance.html>

**Earthwatch Institute**

program:*Banking on Sustainability*

<http://www.earthwatch.org/newsandevents/inthenews/banking-on-sustainability>

**Ecumenical Council for Corporate Responsibility**

Oxford

report: *The Banks and Society: Rebuilding Trust*; February 2011

<http://www.eccr.org.uk/module-htmlpages-display-pid-86.html>

**European Partners for the Environment**

Brussels

report: *Financing Objectives 2020: Mobilising Private Capital*

<http://www.epe.be/files/FinancingObj2020_Mobiliseprivatecapital.pdf>

**Finance Watch**

Brussels

<http://www.finance-watch.org/>

bankers promoting separation of investment banking and retail services:

* <http://www.finance-watch.org/2012/07/who-are-the-senior-bankers-calling-for-separation/>

**Friends of the Earth Europe**

Brussels

**Sustainable Finance Program**

<http://www.foeeurope.org/>

**Friends of the Earth, Utopies, Inrate Provide Rankings for French Banks and Climate**

- <http://www.inrate.com/>

- rankings: <http://www.epargneclimat.fr/>

**FSB Watch** (Financial Stability Board in Basel)

London, Washington

<http://fsbwatch.org/>

**Global Witness**

London

Global Witness Banking Program: <http://www.globalwitness.org/campaigns/banks>

**Green Economy Coalition**

<http://www.greeneconomycoalition.org/>

Banking program:

<http://www.greeneconomycoalition.org/updates/banking-if-future-matters-online-consultation>

**Green Watershed**

China

<http://www.greenwatershed.org/>

report: *Green Credit Footprint of Chinese Banks (2008-2012)*; November 2013

<http://www.banktrack.org/show/news/new_report_measures_green_credit_footprint_of_chinese_banks>

report: *Environmental Record of Chinese Banks* (April 2011) <http://www.banktrack.org/download/environmental_record_of_chinese_banks_2010/environmental_records_of_chinese_banks_2010_eng.pdf>

**International Institute for Sustainable Development**

Winnipeg,Geneva

Sustainable Finance Program

<http://www.iisd.org/finance/>

report:IISD, UNEP Finance Initiative and The Blended Capital Group: *Lenses and Clocks: Financial Stability and Systemic Risk* (June 2012)

<http://www.iisd.org/pdf/2012/lenses_clocks_june_2012.pdf>

report: *Basel III: To what extent will it promote sustainable development?*

<http://www.iisd.org/publications/basel-iii-what-extent-will-it-promote-sustainable-development> (June 2012)

**New Economics Foundation**

London

book: *Where Does Money Come From?*; a guide to the UK monetary and banking system

<http://www.neweconomics.org/publications/where-does-money-come-from>

**Preventable Surprises**

United Kingdom

<http://preventablesurprises.com/>

**SOMO: Centre for Research on Multinational Corporations**

Amsterdam

**-** report:*Investing Responsibly: A Financial Puzzle* (September 2010)

- <http://somo.nl/publications-en/Publication_3578/>

**Rainforest Action Network**

San Francisco, CA

* report: *Bankrolling Climate Disruption* (November 2012)
* <http://ran.org/bankrolling-climate-disruption>
* Fourth annual report on banks and coal industry (May 2013)

<http://ran.org/coal-finance-reportcard-2013>

commentary: <http://www.socialfunds.com/news/article.cgi/3800.html>

* Fifth annual report on banks and coal industry (April 2014)

<http://ran.org/extreme-investments-extreme-consequences>

**Transforming Finance**

Current Signatories for Charter for a New Financial System; launched May 2013

<http://transformingfinance.org.uk/charter/>

**WWF**

*China for a Global Shift*

<http://wwf.panda.org/what_we_do/how_we_work/key_initiatives/transforming_china/>

report: *Environmental Performance of Swiss banks* (2012)

<http://www.wwf.ch/de/finance.cfm>

report: *Environmental, Social and Governance Integration for Banks: A Guide to Starting Implementation* (August 2014)

<http://wwf.panda.org/?226990>

**Regional Banking Organizations**

**Arab African International Bank**

Cairo

- <http://www.aaib.com/CorporateSocialRes/default.aspx>

- Equator Principles member: <http://www.aaib.com/News/NewsDetails.aspx?ID=44>

AAIB background: <https://business.un.org/en/commitments/2962>

**Central American Bank for Economic Integration**

<http://www.bcie.org/>

**Ecobanking Project**

Bogota

<http://www.ecobanking.com/EN/>

**European Investment Bank**

European Union

Luxembourg

<http://www.eib.org/>

Partnership with EIRIS for research (June 2014)

<http://www.eiris.org/media/press-release/eiris-provide-esg-research-eib/>

Corporate Responsibility

<http://www.eib.org/about/cr/>

Climate Action

<http://www.eib.org/projects/priorities/climate-action/index.htm>

Climate Awareness Bonds

<http://www.eib.org/investor_relations/press/2014/2014-071-eib-launches-its-first-gbp-climate-awareness-bond.htm?lang=en>

Sub-Saharan Banking Roundtable with Central Banks (January 2013)

<http://www.eib.org/infocentre/events/all/banking-in-sub-saharan-africa-roundtable.htm>

**Regional and Global Central Banking Organizations**

**Centre for Central Banking Studies (global)**

Bank of England

London

<http://www.bankofengland.co.uk/education/Pages/ccbs/default.aspx>

**Center for Latin American Monetary Studies**

Mexico City

<http://www.cemla.org/>

**South East Asian Central Banks Research and Training Centre**

Kuala Lumpur

<http://www.seacen.org/>

*Greener Central Banks: Exploring Possibilities*; C.S. Vincent Lim; August 2010

<http://www.seacen.org/download.php?id=702001-100080>

**National Central Banking and other Government Organizations**

**Central Banks Investing in Green Bonds**

Climate Bonds Blog, Sean Kidney:

<http://www.climatebonds.net/2014/10/germany%E2%80%99s-kfw-issues-largest-ever-usd-green-bond-15bn-aaae-175-5yr-and-it%E2%80%99s-still-16x>

**Bangladesh Central Bank**

Dhaka

Bangladesh Bank’s first*Annual Report on Green Banking* (for 2012)

link on right side:

<http://www.bangladesh-bank.org/pub/annual/green_banking/2012/green_banking.php>

*Policy Guidelines for Green Banking* (February 2011)

<http://www.bb.org.bd/mediaroom/circulars/brpd/feb272011brpd02e.pdf>

Brazil: **Central Bank of Brazil**

Brazilia

Central Bank of Brazil adopts resolution issuing guidelines for financial institutions to develop a Social and Environmental Responsibility Policy by 2015 (April 2014)

background: <http://www.mattosfilho.com.br/pagina.php?item=Memos&pag=5718&lang=en>

**China Sustainable Banking Forum with UNEP FI**, April 2011

<http://www.unepfi.org/events/2011/beijing/index.html>

**China Banking Regulatory Commission**

Beijing

<http://www.cbrc.gov.cn/english/index.html>

CBRC annual reports (since 2006): <http://www.cbrc.gov.cn/showannual.do>

CBRC *Green Credit Directive* (February 2012)

<http://www.cbrc.gov.cn/EngdocView.do?docID=3CE646AB629B46B9B533B1D8D9FF8C4A>

China: **People’s Bank of China** (China’s central bank)

Beijing

<http://www.pbc.gov.cn/publish/english/963/index.html>

Bank Senior Management remarks, 2/4/2013

<http://www.chinadaily.com.cn/opinion/2013-02/04/content_16197309.htm>

Senior Economist Ma Jun, July 2014

<http://www.climatebonds.net/2014/07/my-new-hero-people%E2%80%99s-bank-china-chief-economist-ma-jun-argues-green-finance-and-green-bonds->

China: **Ministry of Commerce**

Beijing

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Frankfurt am Main

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Frankfurt am Main

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Washington, DC

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Washington, DC

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Alexandria, VA

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Washington, DC

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Washington, DC

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Washington, DC

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Washington, DC

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Washington, DC

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Washington, DC

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Washington, DC

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Washington, DC

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Washington, DC

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Washington, DC

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Arlington, VA

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Washington, DC

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Washington, DC

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Washington, DC

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Washington, DC

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Washington, DC

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London

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London, Edinburgh

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Italy

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Sao Paulo

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Alexandria, VA

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St Kilda (Melbourne)

Australia

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Nairobi

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Association for Environmental Management Banks, Savings Banks and Insurance

Augsburg

Germany

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London

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London

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Washington, DC

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Sydney

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Manama

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Sao Paulo

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**Independent Community Bankers of America**

Washington, DC

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**Conference of State Bank Supervisors**

Washington, DC

<http://www.csbs.org/>

**Institute of International Bankers**

New York, NY

<http://www.iib.org/>

**Canadian Bankers Association**

Toronto

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**Chartered Banker Institute**

Edinburgh; London

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Beijing

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Bogota

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Augsburg

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Mumbai

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Rome

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Seoul

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Ulaanbaatar

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Karachi

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Madrid

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**Global and Regional Finance and Banking Associations**

**Asia Securities Industry & Financial Markets Association**

Hong Kong

<http://www.asifma.org/>

**Association for Financial Markets in Europe**

London

<http://www.afme.eu/>

**European Banking Federation**

Brussels

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**Global Financial Markets Association**

New York, NY

<http://www.gfma.org/>

**International Banking Federation** (since 2004)

London

<http://www.ibfed.org/>

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London

<http://www.omfif.org/>

Advisory Board

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New York, NY

<http://www.sifma.org/>

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**African Development Bank**

Tunisia

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Washington, DC

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**World Bank**

Washington, DC

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**Islamic Development Bank Group**

Jeddah

Saudi Arabia

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Washington, DC

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Carbon Finance Unit

Washington, DC

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Open Data Resources for Climate Change

Washington, DC

<http://data.worldbank.org/climate-change>

**UN Green Climate Fund Secretariat**

Bonn

Germany

<http://gcfund.net/>

**Investor Organizations Promoting ESG Performance**

**Boston Common Asset Management, LLC**

Boston

report:  *Financing Climate Change: Carbon Risk in the Banking Sector* (July 2014)

<http://www.bostoncommonasset.com/news/ClimateChangePR.php>

Banking and Climate Risk Program with 50 Banks (August 2014)

<http://www.bostoncommonasset.com/news/ClimateChangePR.php>

**Investor Networks**

**Eurosif**

Paris

- report: <http://www.eurosif.org/research/sector-reports/banking>

**Ceres**

Boston

Banking and Finance Initiative

<http://www.ceres.org/industry-initiatives/banking-and-finance>

report: *Corporate Governance and Climate Change: The Banking Sector* (January 2008)

- <http://www.ceres.org/>

**Ecumenical Council for Corporate Responsibility and Rathbone Greenbank Investments**

report: *The Banks and Society: Trust Rebuilt?* (March 2014)

<http://www.rathbonegreenbank.com/ECCR-bank-report>

**Interfaith Center on Corporate Responsibility**

New York, NY

**b**anks:

<http://www.iccr.org/our-issues/financial-practices-and-risk/risk-management>

**r**esearch:

ICCR and Sustainalytics launch project on banking (November 2012)

<http://www.iccr.org/news/press_releases/pdf%20files/110612responsible-investor.pdf>

**Finance Innovation Lab**

<http://thefinancelab.ning.com/>

**Program:**

<http://thefinancelab.org/wp-content/uploads/2011/11/Dispruptive-Finance-Policy-project-summary.pdf>

**African Carbon Asset Development Facility**

Partnership of UNEP, German Federal Environment Ministry, Standard Bank

Johannesburg

<http://www.acadfacility.org/>

**The Climate Group**

London

<http://www.theclimategroup.org/>

Climate Group Guidance Note for banking: *Financing New Coal-Fired Power Plants* (October 2011)

pdf: <http://www.theclimategroup.org/_assets/files/Guidance-for-Financing-New-CFPP-TCG-2011_1.pdf>

*Climate Change and Finance in India: Banking on the Low Carbon Indian Economy* (May 2010)

pdf: <http://www.theclimategroup.org/_assets/files/climate-change-and-finance-in-india_2.pdf>

**Common Good Finance**

Ashfield, MA

<http://commongoodfinance.com/>

**Green America**

Washington, DC

<http://www.greenamerica.org/>

**Green America’s Community Investing Guide**

<http://www.greenamerica.org/socialinvesting/communityinvesting/orderguide.cfm>

**Awards**

**US Department of the Treasury’s Bank Enterprise Awards** (since 1994)

Washington, DC

<http://www.cdfifund.gov/what_we_do/programs_id.asp?programid=1>

**Financial Times, International Finance Corporation**

**Sustainable Banking Awards** (since 2005)

London

<https://www.ft-live.com/>

**The Banker** (since 1926)

Financial Times

London

- <http://www.thebanker.com/>

green bonds and climate change (2014)

<http://www.thebanker.com/Markets/Capital-Mkts/Can-green-bonds-help-prevent-climate-catastrophe>

**Environmental Finance**

London

<http://www.environmental-finance.com/>

**Sustainable Finance in Asia Awards** (since 2006)

Association for Sustainable and Responsible Investment in Asia

Hong Kong

<http://conference.asria.org/2011/>

**International Monetary Fund**

Washington, DC

<http://www.imf.org/external/index.htm>

IMF Cosgrove Prize for Ethics in Finance

<http://www.robincosgroveprize.org/>

**Asian Banking and Finance Magazine**

Awards Program (since 2007)

Charlton Media Group

Hong Kong, Singapore and the Philippines

<http://asianbankingandfinance.net/>

**Green Banking Innovation Award** (since 2008)

**Economic Observer Magazine**; award jury of nine environmental organizations

China

- <http://www.banktrack.org/show/membergroups/greenwatershed>

**World Finance**

London

Awards Program (since 2007)

<http://www.worldfinance.com/awards>

**Africa Investor**

South Africa

<http://www.africainvestor.com/awards_landing.asp>

**Research Providers**

**EIRIS**

London

report: *EIRIS Guide to Responsible Banking 2003*

<http://www.eiris.org/files/public%20information%20type%20publications/bankersguide03.pdf>

**Ethics Metrics LLC**

Charlottesville, VA

- independent ratings on ethics, fiduciary conduct, and regulatory compliance by U.S. financial holding companies

- <http://www.ethicsmetrics.com/>

Equity Values at Risk Program

<http://ethicsmetrics.com/site/equity-var>

**Integrity Research Associates**

New York, NY

report: survey of institutional investor use of investment banks for ESG analysis (March 2012; report is $1,500)

<http://www.integrity-research.com/cms/2012/03/15/investment-banks-top-independents-in-esg-research-survey/>

**Novethic**

Paris

report: *Practices of European Banks and Insurance Companies* (September 2012)

<http://www.novethic.com/novethic/v3_uk/upload/2012_CSR_study_bankInsurance.pdf>

**oekom research AG**

Munich

-report: *Commercial Banks & Capital Markets* (June 2009)

<http://www.oekom-research.com/index.php?content=home>

-report: *Public & Regional Banks* (August 2010)

- <http://www.oekom-research.com/index.php?content=home>

-report: *Development Banks* (August 2010)

<http://www.oekom-research.com/index.php?content=home>

report: *Commercial Banks & Capital Markets* (ratings of 294 banks; February 2012)

<http://www.oekom-research.com/index.php?content=home>

**RepRisk AG**

Zurich

<http://www.reprisk.com/>

**RobecoSAM**

Zurich

* report: *Banking & Climate Change: Opportunities and Risks* (2009)

pdf: <http://ec.europa.eu/enterprise/policies/sustainable-business/corporate-social-responsibility/reporting-disclosure/swedish-presidency/files/surveys_and_reports/banking_and_climate_change_-_sam_group_en.pdf>

**Sustainalytics**

The Netherlands

report: *Sector Report: Banks* (July 2014)

<http://www.sustainalytics.com/>

report: *Banks & Responsible Finance* (August 2014)

<http://www.sustainalytics.com/insights>

**Research and Training**

**PRI Academic Network**

**-** <http://academic.unpri.org/>

**UNEP Finance Initiative’s Environmental & Social Risk Online Course**

<http://www.unepfi.org/training/risk_training/index.html>

**UNEP Finance Initiative’s Online Training**: *Climate Change: Risks and Opportunities for the Finance Sector* (since 2007; 2013)

<http://www.unepfi.org/training/climate_change>

**International Finance Corporation’s Training Resources**

online courses: Sustainability, Corporate Governance, other themes

<http://www1.ifc.org/wps/wcm/connect/Topics_Ext_Content/IFC_External_Corporate_Site/IFC+Sustainability/Training+Resources/>

**Institute for Social Banking**

Bochum

Germany

- <http://www.social-banking.org/>

**Responsible Investment Academy**

Sydney

- <http://www.riacademy.org/>

**Sustainable Investment Research Platform**

Umea University

Sweden

- <http://www.sirp.se/>

**Danish Institute for Human Rights**

Copenhagen

report: *Values Added: The Challenge of Integrating Human Rights into the Financial Sector;* 2010

- <http://humanrightsbusiness.org/>

**American Bankers Association**

**Stonier Graduate School of Banking**

University of Pennsylvania

Philadelphia, PA

- <http://www.abastonier.com/>

**Public Banking Institute**

Sonoma, CA

<http://www.publicbankinginstitute.org/home.htm>

publication: *Public Banking Institute Journal*

[http://www.publicbankinginstitute.org/pbi-journal.htm#](http://www.publicbankinginstitute.org/pbi-journal.htm)

**Hawkamah Institute for Corporate Governance**

Dubai

United Arab Emirates

Banks and Financial Institutions

<http://www.hawkamah.org/sectors/banks_and_financial_inst/>

report: *Policy Brief on Corporate Governance for Islamic Banks and Financial Institutions in the Middle East and North Africa Region*; 2011

<http://www.hawkamah.org/>

**Corporate Register**

London

<http://www.corporateregister.com/>

UNEP Finance Initiative member reports: <http://www.corporateregister.com/reports/> (registration required)

**Executive Education in Responsible Banking**

Spain

* <http://www.wsbi.org/template/event.aspx?id=5946>
* <http://www.ieb.es/secc.aspx?idsec=1932>

**Global Bank Ratings**

The Banker (Top 1,000 World Banks ratings since 1970)

<http://www.thebanker.com/Top-1000-World-Banks>

- *Management & Excellence – The Power of Sustainability* (since 2000)

Madrid and Sao Paulo

- <http://www.management-rating.com/>

Ethics Metrics (since 2008)

<http://ethicsmetrics.com/>

-Bloomberg annual bank ratings for climate and energy (since April 2010)

<http://www.bloomberg.com/sustainability/>

**Sovereign Debt Ratings**

AXA Investment Managers *Sovereign Debt ESG Investing* (early 2013)

<http://www.axa-im.com/en/responsible-investment>

MSCI ESG Ratings of Sovereign Debt (since January 2012)

<http://www.msci.com/resources/pressreleases/MSCI_ESG_Research_Sovereign_Ratings_31Jan2012.pdf>

Sovereign Wealth Fund Institute

Las Vegas, NV

<http://www.swfinstitute.org/>

Fund Rankings: <http://www.swfinstitute.org/fund-rankings/>

Bertelsmann Foundation releases report on sovereign risk: *Blueprint for INCRA; An International Non-Profit Credit Rating Agency* (April 2012)

* + pdf: <http://www.bertelsmann-stiftung.de/cps/rde/xbcr/SID-0F435379-B9493DA4/bst/xcms_bst_dms_35880_35881_2.pdf>
  + press release: <http://www.bfna.org/media_advisory/a-blueprint-for-incra-an-international-non-profit-credit-rating-agency>

**National Bank Ratings**

Netherlands

Dutch Fair Banking Guide (since 2009)

<http://www.eerlijkebankwijzer.nl/english/>

United Kingdom

Fairbanking Foundation (ratings since 2011)

<http://www.fairbanking.org.uk/Current_Projects/Overview.html>

Brazil

IDEC, the Brazilian Institute for Consumer Defense (ratings since 2011)

<http://www.idec.org.br/>

<http://www.guiadosbancosresponsaveis.org.br/english.php>

United States

Community Development Financial Institutions

<http://www.cdfifund.gov/>

ratings: <http://www.ncif.org/>

**Banking Partnerships**

ANZ Banking Group partnership with International Business Leaders Forum (May 2011)

<http://www.iblf.org/en/in/News-IBLF-India/2011/May-3-2011-ANZ-joins-IBLF-as-Corporate-Partner.aspx>

ANZ Responsible Lending

<http://www.anz.com/about-us/corporate-responsibility/customers/responsible-business-lending/>

**Professional Organizations**

**Chartered Banker Institute**

Edinburgh; London

<http://www.charteredbanker.com/>

report: *Annual Review 2012, Chartered Banker Professional Standards Board*

<http://www.cbpsb.org/>

**International Finance and Banking Society**

Leicester

<http://ifabs.org/>

**European Banking and Financial Services Training Network**

Amsterdam

<http://www.ebtn.eu/index2.html>

**BAFT-IFSA**

**Bankers’ Association for Finance and Trade–International Financial Services Association**

Washington, DC

<https://www.ifsaonline.org/>

**Financial Services Institute of Australasia**

Sydney

<http://www.finsia.com/>

report: *Implementing Environmental, Social & Governance Principles in Investment Decisions* (January 2012)

<http://www.finsia.com/docs/default-document-library/pol11_11_esg_web.pdf?sfvrsn=0>

**Global Association of Risk Professionals**

Jersey City, NJ; London

<http://www.garp.org/>

**Gulf Bond and Sukuk Association**

Dubai

<http://www.gulfbondsukuk.com/>

**Bankers Without Borders**

Washington, DC

- <http://bankerswithoutborders.com/>

**The Banking and Finance Oath Limited**

Neutral Bay NSW

Australia

<http://www.thebfo.org/>

**Professional Service Providers**

**Accenture Sustainability Services**

London

<https://microsite.accenture.com/sustainability/>

reports: <https://microsite.accenture.com/sustainability/research_and_insights/Pages/ungcreports.aspx>

**ECOFACT AG**

Zurich

<http://ecofact.com/>

Roundtable on Environmental and Social Risk Management (since October 2011) <http://ecofact.com/roundtable>

ECOFACT Quarterly (since 2012): <http://ecofact.com/EQ> (mid-2013)

**Ethics Metrics LLC**

Charlottesville, VA

<http://www.ethicsmetrics.com/>

<http://ethicsmetrics.com/site/home/members>

**Ernst & Young**

New York, London

report (with IIF): *Progress in Financial Services Risk Management* (2012)

<http://www.ey.com/Publication/vwLUAssets/Banking_and_financial_services_risk_management_survey_2012/$FILE/Progress_in_financial_services_risk_management.pdf>

**KPMG**

Climate Change and Sustainability Services

<http://www.kpmg.com/global/en/topics/climate-change-sustainability-services/pages/default.aspx>

report: *Environmental Performance of Swiss banks* (2012)

<http://www.wwf.ch/de/finance.cfm>

**Pricewaterhouse Coopers Sustainability and Climate Change**

London

- <http://www.pwc.com/gx/en/sustainability/industries/banking-and-capital-markets.jhtml>

**Pricewaterhouse Coopers UK**

London

report: *Managing Sustainability Risks and opportunities in the financial services sector* (May 2012)

<http://www.pwc.com/en_JG/jg/publications/ned-sustainability-presentation-may-2012.pdf>

**Pricewaterhouse Coopers**

London

Central Bank Advisory Group

<http://www.pwc.com/gx/en/banking-capital-markets/central-bank-advisory-group.jhtml>

Central Bank Working Group reports:

<http://www.pwc.com/gx/en/banking-capital-markets/central-bank-working-group/index.jhtml>

China:

*Exploring Green Finance Incentives in China*; October 2013

<http://pwccn.com/home/eng/green_finance_incentives_oct2013.html>

**SAS Institute**

Cary, North Carolina

- *Sustainable Banking* Paper: <http://www.sas.com/resources/whitepaper/wp_24356.pdf>

**Academic Institutions**

**Sustainable Finance Project of the Law and Financial Markets Project**

London School of Economics

United Kingdom

<http://www.lse.ac.uk/collections/law/projects/lfm.htm>

report on Bank Conduct Costs (December 2013)

<http://blogs.lse.ac.uk/conductcosts/>

publications: <http://www.lse.ac.uk/collections/law/projects/sustainable/sustainable.htm>

article: *What Makes a Bank a “Sustainable Bank”?* (Fall 2012)

<http://www.lse.ac.uk/collections/law/projects/sustainable/Law%20and%20Economics%20Yearly%20Review%202012_1%20McCormick.pdf>

**Program for Sustainability Leadership**

University of Cambridge

United Kingdom

CISL, UNEP FI report: *Stability and Sustainability in Banking Reform: Are Environmental Risks Missing in Basel III?* (October 2014)

<http://www.cisl.cam.ac.uk/Resources/Publications-and-Downloads.aspx>

Banking Environment Initiative (since 2010)

<http://www.cpsl.cam.ac.uk/Business-Platforms/Banking-Environment-Initiative.aspx>

Soft Commodities Compact (April 2014)

<http://www.cisl.cam.ac.uk/Business-Platforms/Banking-Environment-Initiative.aspx#fragment-3>

**Centre for Responsible Banking & Finance** (since 2011)

University of St Andrews

Scotland

United Kingdom

<http://www.st-andrews.ac.uk/business/rbf/index.shtml>

**European Centre for Corporate Engagement**

School of Business and Economics

Maastricht University

The Netherlands

<http://www.corporate-engagement.com/>

**Columbia University School of International and Public Affairs**

New York, NY

report: **Corporate Social Responsibility in Latin America: The Financial Services Perspective** (Summer 2012)

<http://sipa.columbia.edu/academics/workshops/documents/FORPUBLICATION_BankofAmerica_ReportforPublication.pdf>

**Center for Responsible Business**

Haas School of Business

University of California, Berkeley

report: *The Future of Finance* (December 2011)

<http://www.globescan.com/images/Reports/the%20future%20of%20finance%20-%20globescan.pdf>

**Publications**

-reports: *Sustainable Banking* (since 2009)

*The Financial Ti*mes

London

- <http://www.ft.com/intl/sustainable-banking-2012>

all FT special reports:

- <http://www.ft.com/intl/special-reports>

- *The Banker*(since 1926)

*The Financial Times*

London

- <http://www.thebanker.com/>

Iveta Cherneva, *The Business Case for Sustainable Finance* (June 2012)

<http://www.routledge.com/books/details/9780203111307/>

*International Journal of Central Banking* (since 2004)

Federal Reserve Bank of San Francisco

San Francisco, CA

<http://www.ijcb.org/about/background.htm>

*Journal of Responsible Finance* (since September 2014)

India

<http://responsiblebusinessindia.com/downloads/journal-responsible-finance-volume-1-issue-1/>

**Key International Organizations and Programs for Future Partnerships**

- **UNEP Finance Initiative**

Geneva

- <http://www.unepfi.org/>

- **UN Global Compact**

New York

- <http://www.unglobalcompact.org/>

- **Principles for Responsible Investment**

- London, Geneva

- <http://www.unpri.org/>

- **International Finance Corporation**

Washington, DC

- <http://www.ifc.org/>

- **Global Corporate Governance Forum**

International Finance Corporation

Washington, DC

- <http://www.gcgf.org/>

- **International Monetary Fund**

- <http://www.imf.org/>

- **International Organization of Securities Commissions**

Madrid

- <http://www.iosco.org/>

- **World Federation of Exchanges**

Paris

- <http://www.world-exchanges.org/>

- <http://www.world-exchanges.org/sustainability/>

- **Six Regional Stock Exchange Federations**

- Asia: <http://www.aosef.org/>

- Euro-Asia: <http://www.feas.org/>

- Europe: <http://www.fese.eu/en/>

- South Asia: <http://www.safe-asia.com/index.php>

- Americas: <http://www.fiabnet.org/>

- Africa: <http://www.africansea.org/>

- **International Association of Insurance Supervisors**

Basel

- <http://www.iaisweb.org/>

- **Association for Sustainable & Responsible Investment in Asia**

Hong Kong

<http://www.asria.org/>

- **Equator Principles Association**

- <http://www.equator-principles.com/>

- **Global Alliance for Banking on Values**

The Netherlands

- <http://www.gabv.org/>

- **Bank for International Settlements**

Basel

<http://www.bis.org/>

- **OECD Working Party on Public Debt Management**

Paris

- <http://www.oecd.org/daf/publicdebtmanagement>

**Sustainable Banking Resources and Conferences**

*Financial Times* publishes special reports on banking and society during the year

<http://www.ft.com/reports>

<http://www.fttoolkit.co.uk/2011mediakit/sector_banking.html>

**Sustainable Finance Summit**

London, December 2011

**Geneva Summit on Sustainable Finance** (since 2013)

Geneva

<http://www.geneva-summit-on-sustainable-finance.ch/>

**Green Banking Academy** for US state banking programs (since February 2014)

[hthttp://greenbankacademy.com/tp://events.ethicalcorp.com/finance/index.shtml](http://events.ethicalcorp.com/finance/index.shtml)

**Environmental Finance**

London

annual conferences on environmental bonds (since 2011)

<http://www.environmental-finance.com/content/events/>

**Green Bonds Conferences**

Climate Bonds Initiative

London

events: <http://www.climatebonds.net/media/events>

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