



Dear Mr. Bohoslavsky,

On behalf of the Business & Human Rights Resource Centre, I would like to submit our contribution to the development of guiding principles for human rights impact assessments (HRIAs) on economic reform policies.

Our organization welcomes the opportunity to make a submission in line with the efforts of the Independent Expert on foreign debt and human rights to develop the said guiding principles. As the leading global business and human rights knowledge hub, we are well-placed to share information about relevant examples, tools, and guidance that could be useful for this effort, and highlight specific standards that will enhance the guidelines' content and structure..

In particular, this submission provides the following:

- Further information about our organization and our potential to contribute to the Independent Expert's consultations and information dissemination through our online information platform;
- A table of relevant examples, tools, and guidance on HRIAs that may be relevant to various aspects of economic policy reform;
- Some recommendations on the content and structure of the guiding principles being developed.

### ***The Business & Human Rights Resource Centre as global information hub***

We are an independent non-profit organization that promotes greater awareness and informed discussion about human rights issues relating to business. Our aim is to encourage companies to advance human rights in business, and eradicate abuse. We engage with companies and governments, and urge them to share information publicly through our website. Our website (available in 8 languages) received over two million visits last year, and now covers over 7000 companies; heavy traffic on our site comes from over 195 countries and territories. Every week, our Weekly Update newsletter reaches 17,000 subscribers composed of decision makers and opinion leaders all over the world.

By making relevant information freely available on our website, we promote transparency and accountability among decision-makers. By amplifying community, worker, and civil society voices in processes that have the potential to address human rights issues such as your current initiative, we help empower advocates. Our regular collaboration and interaction with the OHCHR and various UN special procedures help us achieve these ends. When former UN Special Representative on business & human rights, Prof. John Ruggie developed the "Protect, Respect and Remedy" framework and the Guiding Principles on business and human rights, we created [a portal on our website](#) at his request to facilitate communication and information sharing related to his mandate. We have a dedicated section on our website on the Working Group on Business and Human Rights, where we highlight their initiatives and post materials on their work; and regularly highlight the work of other experts and working groups. We also support the OHCHR with preparations and coverage for the Annual Forum on business & human rights, among other projects.

We invite you to use our online platform to disseminate materials related to your work on the guiding principles for HRIAs for economic reform policies, or other future efforts.

### ***Examples, tools, and guidance on human rights impact assessments***

Please find attached a database of 72 materials – mostly tools, guidance and implementation examples – on topics closely linked to HRIAs, such as human rights due diligence, human rights

policies, grievance mechanisms and other remedial measures. They come from a wide range of sources, including communities, NGOs, businesses, and academics – and focus on a variety of sectors and issues.

Aside from the links to the materials, we have also summarized the purpose of each, as well as their year of release, author, type of organization, target audience, theme, and sector.

We hope that you find them useful in mapping existing HRIA tools and guidance that could or have already been applied in the context of economic reform, austerity and fiscal consolidation policies

### ***Recommendations***

We believe that this effort to develop guiding principles on economic reform policies will have a great impact in the way human rights issues are considered in economic and fiscal decisions. We are thrilled about this opportunity to persuade states and other economic actors to be mindful of the impacts their decisions have on the lives of people, especially in contexts where the power imbalance between state and economic actors on one hand, and affected communities on the other, are so great and the opportunities to be heard or remediated in cases of abuse are few.

In conclusion, we encourage the Independent Expert on foreign debt to:

1. Continue its broad outreach and communication for this process, and use our platform for consultations and information dissemination;
2. Examine the examples, tools and guidance on HRIAs that we provided as part of its broad research and in ensuring that its guiding principles do not go below existing standards;
3. Clearly set out the responsibilities of states, financial institutions (including multilateral, public, and private banks), contractors, and project implementers especially with an eye toward avoiding, mitigating or remedying adverse impacts on rights-holders affected by various economic and fiscal decisions;
4. Elaborate on which actors have the responsibility to address and mitigate human rights risks identified from the assessments, including but not limited to taking remedial actions, payment of compensations, or other remedies;
5. Be comprehensive in its list of actions or decisions subject to HRIAs;
6. Highlight the need for grievance mechanisms is an essential part of an effective HRIA (refer to Principle 31 of the UN Guiding Principles on business & human rights for eight criteria for effective grievance mechanisms: legitimate, accessible, predictable, equitable, transparent, rights-compatible, a source of continuous learning and based on engagement and dialogue);
7. Address potential security concerns and reprisals against advocates and human rights defenders as they get involved in processes linked to this consultation, as well as in actual HRIAs;
8. Emphasise the universality, inalienability, indivisibility, and interdependence of human rights, especially in view of what we have observed as the tendency of economic actors to “pick and choose” the rights they will uphold.

Thank you very much for your kind attention. We are happy to assist further in the process of the development the guidance.

Best regards

Lowell Chow

Greater China Senior Researcher and Representative, Business & Human Rights Resource Centre

**Annex to the submission of the Business & Human Rights Resource Centre: Examples, tools, and guidance on human rights impact assessments**

HRIA Material Title	Purpose	Year	Author	Type of Org	Audience	Theme	Sector	URL
A guide for business: How to Develop a Human Rights Policy	To assist companies draft their own human rights policy through company examples of a possible good practice approach on the particular identified topic	2011	UN Global Compact, OHCHR	Intl Org	Private sector	UNGP Policy	Any	<a href="http://www.ohchr.org/Documents/Publications/DevelopHumanRightsPolicy_en.pdf">http://www.ohchr.org/Documents/Publications/DevelopHumanRightsPolicy_en.pdf</a>
ACCESS Facility database of grievance mechanisms	To provide database of existing non-judicial grievance mechanisms relevant for addressing company-community conflicts	2012	ACCESS Facility	Intl NGO	Companies, communities and their representatives	Grievance mechanisms	Any	<a href="http://accessfacility.org/mechanisms/all">http://accessfacility.org/mechanisms/all</a>
Access to Remedy learning module	To provide an overview of the recourse mechanisms, and a basic understanding of administrative procedures run by business, industry or other organisations to receive complaints of human rights-related harms	2013	Global Rights	Local NGO	Civil society organisations in Sub-Saharan Africa	Grievance mechanisms	Any	<a href="http://www.globalrights.org/ngn/wp-content/uploads/2016/08/AccessToRemedy_ENG.pdf">http://www.globalrights.org/ngn/wp-content/uploads/2016/08/AccessToRemedy_ENG.pdf</a>

Accountability Resource Guide: Tools for redressing Human Rights & Environmental Violations by International Financial Institutions, Export Promotion Agencies, & Private Corporate Actors	To provide information for people who are, or who may be, harmed by projects sponsored by, international Fis, export promotion agencies, MNCs	2012	Natalie Bridgeman, Accountability Counsel	Local NGO	Community leaders, lawyers, NGOs	Greivance mechanisms	Mines, oil and gas extraction, production and pipeline facilities, water privatisation projects, agricultural projects, forestry projects	<a href="http://www.accountabilitycounsel.org/wp-content/uploads/2012/04/ARG-7.1.pdf">http://www.accountabilitycounsel.org/wp-content/uploads/2012/04/ARG-7.1.pdf</a>
Addressing Security and Human Rights Challenges in Complex Environments Knowledge Hub	To bring relevant resources and tools together within a coherent, accessible structure which facilitates problem-solving both at headquarters and at field levels		The Geneva Centre for the Democratic Control of Armed Forces (DCAF), ICRC	Intl NGO	Companies working in complex environments and facing similar challenges	UNGP Resource Hub: Security	Extractives	<a href="http://www.securityhumanrightshub.org">http://www.securityhumanrightshub.org</a>
Briefing note: A collaborative approach to human rights impact assessments	To help address one of the key challenges of current HRIA practices: limited stakeholder engagement and participation, which can undermine effectiveness and trust	2017	Columbia Center on Sustainable Investment, Danish Institute for Human Rights, SciencesPo Law School	Academic and think tank	Businesses and communities	Stakeholder engagement and participation	Various	<a href="https://www.humanrights.dk/sites/humanrights.dk/files/enter/udgivelse/hrd_2017/briefing_note_collaborative_approach_to_hrias_2017.pdf">https://www.humanrights.dk/sites/humanrights.dk/files/enter/udgivelse/hrd_2017/briefing_note_collaborative_approach_to_hrias_2017.pdf</a>

Business and Human Rights: A Five-Step Guide for Company Boards	To help boards identify, mitigate, report on the human rights impacts of their activities, and meet their legal responsibilities set out in domestic laws	2016	Shift, UK Equality and Human Rights Commission		Boards of companies, mainly UK	UNGP Policy		<a href="https://www.shiftproject.org/resources/publications/business-human-rights-five-step-guide-for-company-boards/">https://www.shiftproject.org/resources/publications/business-human-rights-five-step-guide-for-company-boards/</a>
Child Rights and Mining Toolkit: Best practices for addressing children's issues in large-scale mining	To help mining companies to address their positive or negative child rights impacts	2017	UNICEF	Intl Org	Stakeholders involved in the mining sector	Child labour	Mining	<a href="https://www.unicef.org/csr/files/FINAL_Child_Rights_and_Mining_Toolkit_060217.pdf">https://www.unicef.org/csr/files/FINAL_Child_Rights_and_Mining_Toolkit_060217.pdf</a>
Community Development Toolkit	To foster constructive relationships, build capacity and improve opportunities for the sustainable development of communities around mining and metals operations	2012	ICMM	Intl industry association	Mining and metals companies	Company-community relationship	Mining	<a href="https://www.icmm.com/website/publications/pdfs/4080.pdf">https://www.icmm.com/website/publications/pdfs/4080.pdf</a>
Community Greivance Mechanisms toolbox	To enable oil and gas companies to create, implement and raise awareness of Community Greivance Mechanisms (CGMS), outlined as the Access to Remedy pillar in the UNGP BHR	2014	IPIECA (International Petroleum Industry Environmental Conservation Association)	Intl industry association	Oil and gas companies	Community	Oil and gas	<a href="http://www.ipieca.org/resources/good-practice/community-greivance-mechanisms-toolbox/">http://www.ipieca.org/resources/good-practice/community-greivance-mechanisms-toolbox/</a>

Community-Driven Operational Greivance Mechanisms: Discussion paper for a new model	To introduce the concept of the community-driven grievance mechanism, and serve for expert discussion and input		EarthRights International, SOMO	Intl NGO	Private sector	Greivance mechanisms	Any	<a href="https://business-humanrights.org/sites/default/files/documents/OGM%20Discussion%20Paper%20-%20ERI%2C%20SOMO%20-%20Mar%202015.pdf">https://business-humanrights.org/sites/default/files/documents/OGM%20Discussion%20Paper%20-%20ERI%2C%20SOMO%20-%20Mar%202015.pdf</a>
Company policy statements on human rights	To link to statements by companies that explicitly refer to human rights		Business and Human Rights Resource Centre (BHRRC)	Intl NGO	Multi-stakeholder	UNGP Policy	Any	<a href="https://business-humanrights.org/en/company-policy-statements-on-human-rights">https://business-humanrights.org/en/company-policy-statements-on-human-rights</a>

Company-community relations: awareness raising packs	To provide a summary of available best practice guidance on key subject areas (human rights, conflict management, indigenous peoples, resettlement, community support) for community relations teams on the ground		ICMM	Intl industry association	Different packs for different audiences: Senior members of staff, community relations practitioners, staff who are in non-community relations roles, but with interaction with external stakeholders	Company-community relationship	Mining	<a href="https://www.icmm.com/en-gb/publications/awareness-raising-packs">https://www.icmm.com/en-gb/publications/awareness-raising-packs</a>
Conducting an Effective Human Rights Impact Assessment: Guidelines, Steps and Examples	To guide companies incorporate human rights impact assessment into existing company procedures	2013	BSR	Intl business network	Private sector	Due diligence	Any	<a href="https://www.bsr.org/reports/BSR_Human_Rights_Impact_Assessments.pdf">https://www.bsr.org/reports/BSR_Human_Rights_Impact_Assessments.pdf</a>
Corporate Accountability for Human Rights Abuses: A Guide for Victims and NGOs on Recourse Mechanisms	To provide a guidance on different extraterritorial judicial and non-judicial recourse mechanisms available for victims of human rights abuse involving multinational corporations	2016	FIDH	Intl NGO	Victims, their (legal) representatives, NGOs and other civil society groups (unions, peasant associations, social movements, activists)	Greivance mechanisms	Any	<a href="https://www.fidh.org/IMG/pdf/corporate_accountability_guide_version_web.pdf">https://www.fidh.org/IMG/pdf/corporate_accountability_guide_version_web.pdf</a>

Corporate Human Rights Benchmark	To create and promote the first open and public benchmark of corporate human rights performance	2013	Aviva Investors, BHRRC, EIRIS Foundation, Institute for Human Rights and Business, Nordea Wealth Management, VBDO (the Dutch Association of Investors for Sustainable Development)	Non-profit company	Multi-stakeholder	UNGP General	Agricultural products, apparel, extractives	<a href="https://www.corporatebenchmark.org">https://www.corporatebenchmark.org</a>
Critical Control Management Implementation Guide	To help companies improve managerial control over rare but potentially catastrophic events by focusing on the critical controls		ICMM	Intl industry association	Mining and metals companies	Health and safety & critical control	Mining and metals	<a href="https://www.icmm.com/website/publications/pdfs/9722.pdf">https://www.icmm.com/website/publications/pdfs/9722.pdf</a>
Demonstrating value: a guide to responsible sourcing	To provide guidance on approaches that companies can take to demonstrate they are producing materials responsibly		ICMM	Intl industry association	Whole value chain of mine and metal production	Demonstrating & Reporting	Mining and metals	<a href="https://www.icmm.com/website/publications/pdfs/responsible-sourcing/demonstrating-value">https://www.icmm.com/website/publications/pdfs/responsible-sourcing/demonstrating-value</a>



Doing business with respect for human rights	To equip readers with practical advice and real-life examples that help to translate the high-level expectations in the UNGP on Business and Human Rights into concrete action	2014-2016	Global Perspectives Project (collaboration between the Global Compact Network Netherlands, Oxfam, Shift, funded by the Dutch Ministry of Foreign Affairs)	National partnership of NGOs	Private sector	UNGP Policy, Due diligence & Impact assessment	Any	<a href="https://www.businessrespects.org">https://www.businessrespects.org</a>
Doing business with respect for human rights: Communicating performance	To help companies communicate about their efforts to prevent and address human rights impacts	2016	Global Compact Network Netherlands, Oxfam, Shift	Regional Org	Private sector: CSR/sustainability and communications teams	Reporting	Any	<a href="https://www.businessrespects.org/en/page/347/communicating-performance">https://www.businessrespects.org/en/page/347/communicating-performance</a>
Extractive Industries Transparency Initiative Standard 2016	To address financial transparency and key governance issues in the extractive sectors	2016	EITI International Secretariat	Intl Org	Multi-stakeholder	Guidance/Standard	Oil, gas and mineral	<a href="https://eiti.org/document/standard">https://eiti.org/document/standard</a>
Five Practical Steps for Conflict Minerals Due Diligence and SEC Disclosure	To provide guidance for downstream companies on what information they can include in their conflict minerals disclosure	2017	The Conflict-Free Sourcing Initiative	Intl industry association	Private sector with US SEC obligations for conflict minerals	Due diligence, Reporting	Electronics	<a href="http://www.conflictfree.org/media/docs/CFSI_2017WhitePaper.pdf">http://www.conflictfree.org/media/docs/CFSI_2017WhitePaper.pdf</a>

Getting it Right: Human Rights Impact Assessment Guide	To assist affected communities to document the human rights impacts of foreign investment projects, and encourage a more substantive engagement in the decision-making	2011	Rights & Democracy, Oxfam America, International Federation of Human Rights (FIDH)	Intl NGO	Communities and the civil society organisations they work with	Due diligence	Any	<a href="http://hria.eu.alit.ie/en/index.html">http://hria.eu.alit.ie/en/index.html</a>
Global Reporting Initiative G4 Sustainability Reporting Guide	To report organisations report their most critical impacts on the environment, soceity and the economy, assess opportunities and risks and enable more informed decision-making	2013	Global Reporting Initiative (GRI)	Intl independent org	Multi-stakeholder	Reporting	Any	<a href="https://www.globalreporting.org/information/g4/Pages/default.aspx">https://www.globalreporting.org/information/g4/Pages/default.aspx</a>
Good practice guidance on occupational Health Risk Assessment	To help companies assess their potential risks to health and determine appropriate control measures to protect the health and wellbeing of workers		ICMM	Intl industry association	Occupational health advisors, occupational/i industrial hygiene advisors, managers and operational staff	Health and safety & critical control	Mining and metals	<a href="https://www.icmm.com/website/publications/pdfs/health-and-safety/161212_health-and-safety_health-risk-assessment_2nd-edition.pdf">https://www.icmm.com/website/publications/pdfs/health-and-safety/161212_health-and-safety_health-risk-assessment_2nd-edition.pdf</a>
Guide to Human Rights Impact Assessment and Management (HRIAM)	To help companies identify and assess potential and/or existing human rights risks and impacts; and integrate findings from the assessment into the company's management system	2010	International Business Leaders Forum (IBLF), IFC, in association with the UN Global Compact	Intl Org	Private sector	Due diligence	Any	<a href="https://www.unglobalcompact.org/docs/issues_doc/human_rights/GuidetoHRIAM.pdf">https://www.unglobalcompact.org/docs/issues_doc/human_rights/GuidetoHRIAM.pdf</a>

Guidelines on public participation in EIA in the Mekong Region	To address the shared concern for increasing meaningful public participation in development planning, in the context of increasing investment projects across the Mekong region	2017	Mekong Partnership for the Environment, Natural resource management, Governance	Local NGO	Private sector	Public participation	Any	<a href="http://www.pactworld.org/library/guidelines-public-participation-eia-mekong-region">http://www.pactworld.org/library/guidelines-public-participation-eia-mekong-region</a>
Handling and Resolving Local Level Concerns & Greivances	To help companies both design complaints procedures or mechanisms and/or enhance existing procedures as needed	2009	ICMM	Intl industry association	Mining companies and the public	Indigenous, land & grievance	Mining and metals	<a href="https://www.unglobalcompact.org/docs/issues_doc/human_rights/Resources/HR-Concerns-and-Grievances.pdf">https://www.unglobalcompact.org/docs/issues_doc/human_rights/Resources/HR-Concerns-and-Grievances.pdf</a>
Health and safety critical control management: good practice guide	To provide practical guidance on preventing the most serious types of health and safety incidents (material unwanted events MUEs) - managing critical controls that aligns risk management and good management practice		ICMM	Intl industry association	Senior executive leadership	Health and safety & critical control	Mining and metals	<a href="https://www.icmm.com/website/publications/pdfs/8570.pdf">https://www.icmm.com/website/publications/pdfs/8570.pdf</a>
Health and safety performance indicators	To provide the recommended definitions and reporting boundaries for lagging health and safety performance indicators for benchmarking activities	2014	ICMM	Intl industry association	Mining and metals companies	Health and safety & critical control	Mining and metals	<a href="https://www.icmm.com/website/publications/pdfs/6613.pdf">https://www.icmm.com/website/publications/pdfs/6613.pdf</a>

Health Risk Assessment Guidance for Metals (HERAG)	To reduce uncertainty in future risk assessments by addressing specific properties of metals and current knowledge on metal-specific human health risk assessment approaches		ICMM, Eurometaux, Eurofer, EBRC	Intl partnership of industry orgs and private consultancy	Scientific and regulatory community	Health and safety & critical control	Mining and metals	<a href="https://www.icmm.com/website/publications/pdfs/chemicals-management/herag/herag-background-2007.pdf">https://www.icmm.com/website/publications/pdfs/chemicals-management/herag/herag-background-2007.pdf</a>
Host country security assessment guide	To assist companies in evaluating the national and regional security contexts, identify the different challenges that are likely to affect extractive operations, and support engagement between companies and government security forces	2015	IPIECA, in partnership with the Geneva Centre for the Democratic Control of Armed Forces (DCAF), and the International Committee of the Red Cross (ICRC)	Intl industry association	Oil and gas companies	Security	Oil and gas	<a href="http://www.ipieca.org/resources/good-practice/host-country-security-assessment-guide/">http://www.ipieca.org/resources/good-practice/host-country-security-assessment-guide/</a>
How to use the UN Guiding Principles on Business and Human Rights in company research and advocacy: A guide for civil society organisation	To provide a method for civil society organisations to use the UNGP to address the responsibility of business, and help them to hold companies accountable	2012	SOMO, the Center for Human Rights and Environment (CEDHA), Cividep India	Intl NGO	Civil society organisations	Greivance mechanisms	Any	<a href="https://www.somo.nl/wp-content/uploads/2012/11/English-version.pdf">https://www.somo.nl/wp-content/uploads/2012/11/English-version.pdf</a>

Human Rights and Business Dilemmas Forum	To stimulate discussion about the dilemmas responsible MNCs may face in their efforts to respect and support human rights when operating in emerging economies		UN Global Compact, Verisk Maplecroft	Intl Org	Private sector	UNGP Resource Hub: Access to water, living wage/working hours, Security forces and human rights, Gender equality, Human trafficking, Doing business in conflict-affected countries	Any	<a href="http://hrbdf.org">http://hrbdf.org</a>
Human rights and business learning tool	To provide company managers and staff with essential knowledge and understanding of what human rights are, their importance and the key elements to respect human rights in practice	2013	OHCHR, UN Global Compact	Intl Org	Private sector	Training	Any	<a href="http://human-rights-and-business-learning-tool.unglobalcompact.org">http://human-rights-and-business-learning-tool.unglobalcompact.org</a>
Human Rights Due Diligence in High Risk Circumstances	To focus on how to do human rights due diligence in high risk circumstances	2015	Shift	Intl NGO	Public and private sector financial institutions	Due diligence	Any	<a href="https://www.shiftproject.org/media/resources/docs/Shift_HRDDinhighriskcircumstances_Mar2015.pdf">https://www.shiftproject.org/media/resources/docs/Shift_HRDDinhighriskcircumstances_Mar2015.pdf</a>

Human rights due diligence process: a practical guide to implementation for oil and gas companies	To assist oil and gas companies in implementing a due diligence process for human rights	2012	IPIECA	Intl industry association	Oil and gas companies	Due diligence	Oil and gas	<a href="http://www.ipieca.org/resources/good-practice/human-rights-due-diligence-process-a-practical-guide-to-implementation-for-oil-and-gas-companies/">http://www.ipieca.org/resources/good-practice/human-rights-due-diligence-process-a-practical-guide-to-implementation-for-oil-and-gas-companies/</a>
Human rights impact assessment guidance and toolbox	To provide guidance and practical tools for conducting, commissioning, reviewing or monitoring human rights impact assessments of business projects and activities	2016	The Danish Institute for Human Rights	National Org	Private sector	Due diligence	Any	<a href="https://www.humanrights.dk/business/tools/human-rights-impact-assessment-guidance-and-toolbox">https://www.humanrights.dk/business/tools/human-rights-impact-assessment-guidance-and-toolbox</a>
Human Rights Impact Assessment Toolkit	To help companies automate impact ratings scores using Excel for systematically identifying, predicting, responding to the potential human rights impacts of a business operation, capital project, government policy or trade agreement	2012	Nomogaia: Guiding Business in Human Rights	Non-profit thinktank	Practitioners interested in conducting corporate HRIA	Due diligence	Any, with specific adaptations for finance, agriculture, petroleum and energy, mining	<a href="http://nomogaia.org/tools/">http://nomogaia.org/tools/</a>
Human Rights in the Mining and Metals Industry - Overview, Management Approach and Issues	To highlight ICMM Position Statements and key pressure points of relevance to business and human rights, external tools and initiatives	2009	ICMM	Intl industry association	Mining and metals companies	Human Rights	Mining	<a href="https://www.icmm.com/website/publications/pdfs/8331.pdf">https://www.icmm.com/website/publications/pdfs/8331.pdf</a>

Human rights training tool - 3rd edition	To reinforce company expectations and requirements related to human rights and introduce resources to help manage potential human rights issues	2014	IPIECA	Intl industry association	Oil and gas companies (Sustainability managers, communications professionals and EHS or socio-economic specialists)	Training	Oil and gas	<a href="http://www.ipieca.org/resources/good-practice/human-rights-training-tool-3rd-edition/">http://www.ipieca.org/resources/good-practice/human-rights-training-tool-3rd-edition/</a>
Indigenous peoples and mining good practice guide	To provide guidance to companies on good practice where mining-related activities occur on or near traditional indigenous land and territory	2010	ICMM	Intl industry association	Mining companies and the public	Indigenous, land & grievance	Mining	<a href="http://icmm15.ipg.interactiveinvestor.com.au">http://icmm15.ipg.interactiveinvestor.com.au</a>
Integrating human rights due diligence into corporate risk management processes	To assist mining companies in reviewing their existing risk management processes, identifying whether their existing processes are consistent with the UNGP	2012	ICMM	Intl industry association	Mining and metals companies	Human Rights	Mining	<a href="https://www.icmm.com/website/publications/pdfs/3308.pdf">https://www.icmm.com/website/publications/pdfs/3308.pdf</a>
Integrating human rights into environmental, social and health impact assessments. A practical guide for the oil and gas industry.	To provide an introduction to human rights and their relevance to the activities of the oil and gas industry, and the importance for oil and gas companies to consider their impacts on human rights	2013	IPIECA, in partnership with human rights practitioners from the Danish Institute for Human Rights (DIHR)	Intl industry association	Oil and gas companies	Impact assessment	Oil and gas	<a href="http://www.ipieca.org/resources/good-practice/integrating-human-rights-into-environmental-social-and-health-impact-assessments-a-practical-guide-for-the-oil-and-gas-industry/">http://www.ipieca.org/resources/good-practice/integrating-human-rights-into-environmental-social-and-health-impact-assessments-a-practical-guide-for-the-oil-and-gas-industry/</a>

Kimberley Process	To ensure trade in diamonds does not finance conflict, and prevent conflict diamonds from entering the mainstream rough diamond market	2003	UN General Assembly	Intl Org	Multi-stakeholder	Certification Scheme	Diamond	<a href="https://www.kimberleyprocess.com/en">https://www.kimberleyprocess.com/en</a>
Land acquisition and resettlement: Lessons learned	To consolidate lessons learned from practical experiences, and profile innovative approaches to overcoming the critical issues and challenges related to land acquisition and resettlement	2015	ICMM	Intl industry association	Mining companies and the public	Indigenous, land & grievance	Mining	<a href="https://www.icmm.com/website/publications/pdfs/9714.pdf">https://www.icmm.com/website/publications/pdfs/9714.pdf</a>
Metals Environmental Risk Assessment Guidance Overview 2016	To encourage further integration of metals-specific approaches into risk assessment frameworks	2016	ICMM, European Association of Metals, in partnership with UK DEFRA	Intl industry association	Mining and metals companies	Environmental assessment & management	Mining and metals	<a href="https://www.icmm.com/website/publications/pdfs/chemicals-management/merag/merag-overview-2016.pdf">https://www.icmm.com/website/publications/pdfs/chemicals-management/merag/merag-overview-2016.pdf</a>
Mining partnerships for development toolkit (InBrief)	To provide a more systematic and objective way to quantify and agree ways to enhance mining's economic and social contribution; and highlight the importance of good governance partnerships to drive development and poverty reduction	2011	ICMM	Intl industry association	Academics and practitioners working on mining, sustainability and sustainable development	Company-community relationship	Mining	<a href="https://www.icmm.com/website/publications/pdfs/2607.pdf">https://www.icmm.com/website/publications/pdfs/2607.pdf</a>



OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractives Sector	To offer practical guidance for the extractive sector in line with the OECD MNE Guidelines on due diligence for stakeholder engagement	2015	OECD	Intl Org	Private sector	Due diligence	Extractives	<a href="https://www.oecd.org/daf/inv/mne/OECD-Guidance-Extractives-Sector-Stakeholder-Engagement.pdf">https://www.oecd.org/daf/inv/mne/OECD-Guidance-Extractives-Sector-Stakeholder-Engagement.pdf</a>
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	To help companies respect human rights, and avoid contributing to conflict through better transparency and corporate engagement in mineral sourcing practices	2016	OECD	Intl Org	Multi-stakeholder	Due diligence	Mineral	<a href="http://www.oecd.org/daf/inv/mne/OECD-Due-Diligence-Guidance-Minerals-Edition3.pdf">http://www.oecd.org/daf/inv/mne/OECD-Due-Diligence-Guidance-Minerals-Edition3.pdf</a>
Oil and gas industry guidance on voluntary sustainability reporting (3rd edition)	To help company sustainability managers to develop corporate level reporting for internal and external stakeholder audiences	2016	IPIECA	Intl industry association	Oil and gas companies (Sustainability managers, communications professionals and EHS or socio-economic specialists)	Reporting	Oil and gas	<a href="http://www.ipieca.org/resources/good-practice/oil-and-gas-industry-guidance-on-voluntary-sustainability-reporting-3rd-edition/">http://www.ipieca.org/resources/good-practice/oil-and-gas-industry-guidance-on-voluntary-sustainability-reporting-3rd-edition/</a>
Online human rights training modules for companies based on UN Guiding Principles	To provide users with comprehensive training on human rights within a business context		Global CSR	Consultancy	Private sector	Training	Any	<a href="http://learncsr.com/aboutModules.html">http://learncsr.com/aboutModules.html</a>
Planning for Integrated Mine Closure: Toolkit	To guide practitioners to better prepare for planned mine closure, using a risk and opportunity based process	2008	ICMM	Intl industry association	Mining and metals companies	Environmental assessment & management	Mining and metals	<a href="https://www.icmm.com/website/publications/pdfs/310.pdf">https://www.icmm.com/website/publications/pdfs/310.pdf</a>

Practical actions for companies to identify and address the worst forms of child labour in mineral supply chains	To help concerned companies implement a comprehensive supply chain due diligence risk framework to prevent contributing to conflict through their sourcing decisions	2016	OECD	Intl Org	Private sector involved in mining and trade in minerals from conflict-affected and high-risk areas	Child labour	Mineral	<a href="https://mneguidelines.oecd.org/OECD-Practical-Actions-for-WFCL-in-Mining.pdf">https://mneguidelines.oecd.org/OECD-Practical-Actions-for-WFCL-in-Mining.pdf</a>
Respecting Human Rights Through Global Supply Chain: Shift Workshop Report	To explore challenges and generate practical guidance for companies regarding respect for human rights through global supply chains	2012	Shift	Intl NGO	Private sector	Implementation within supply chain	Any	<a href="https://www.shiftproject.org/media/resources/docs/Shift_UNGPsupplychain2012.pdf">https://www.shiftproject.org/media/resources/docs/Shift_UNGPsupplychain2012.pdf</a>
Respecting Human Rights: An introductory guide for business	To draw readers' attention to a wide selection of scenarios that might impact on the human rights of workers in the supply chain, local communities or customers, and to offer approaches that business can take to mitigate these risks	2013	Global Compact Network Germany, twentyfifty, German Institute for Human Rights	Regional Org	Multi-stakeholder	UNGP General	Any	<a href="http://www.globalcompact.de/wAssets/docs/Menschenrechte/Publicationen/respecting_human_rights-an_introductory_guide_for_business.pdf">http://www.globalcompact.de/wAssets/docs/Menschenrechte/Publicationen/respecting_human_rights-an_introductory_guide_for_business.pdf</a>
Respecting Human Rights: Organisational Capacity Assessment Instrument (OCAI)	To help companies assess and improve management capacity to manage its human rights impacts	2012	Global Compact Network Germany, twentyfifty	Regional Org	Private sector	Due diligence	Any	<a href="http://www.globalcompact.de/wAssets/docs/Menschenrechte/Ocai/respecting_human_rights_OCAI_EN.pdf">http://www.globalcompact.de/wAssets/docs/Menschenrechte/Ocai/respecting_human_rights_OCAI_EN.pdf</a>

Responsible reporting of mineral assets	To describe the work of CRIRSCO in establishing international standards for the reporting of mineral resource and reserve estimates	2013	Committee for Mineral Reserves International Reporting Standards (CRIRSCO)	Intl industry association	Mining and metals companies	Demonstrating & Reporting	Mineral resource	<a href="https://www.icmm.com/website/publications/pdfs/society-and-the-economy/responsible-reporting-of-mineral-assets">https://www.icmm.com/website/publications/pdfs/society-and-the-economy/responsible-reporting-of-mineral-assets</a>
Responsible Supply Chains in Artisanal and Small-Scale Gold Mining - Frequently Asked Questions	To clarify expectations embodied in the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, provide detailed recommendations and a practical framework	2016	OECD	Intl Org	Private sector potentially involved in sourcing minerals or metals from conflict-affected and high-risk areas	Guidance	Mineral	<a href="http://mneguidelines.oecd.org/FAQ_Sourcing-Gold-from-ASM-Miners.pdf">http://mneguidelines.oecd.org/FAQ_Sourcing-Gold-from-ASM-Miners.pdf</a>
Stakeholder Research Toolkit	To provide step-by-step guidance for companies seeking to measure and monitor their reputation among all stakeholder groups	2015	ICMM, reviewed by the University of Queensland	Intl industry association	Mining and metals companies	Company-community relationship	Mining	<a href="https://www.icmm.com/website/publications/pdfs/8516.pdf">https://www.icmm.com/website/publications/pdfs/8516.pdf</a>
Step-By-Step Guide to Filing a Complaint with a Grievance Mechanism	To provide general advice for complainants to follow when filing a complaint with a grievance mechanism		SOMO (the Centre for Research on Multinational Corporations)	Intl NGO	Public	Grievance mechanisms	Any	<a href="https://www.grievancemechanisms.org/resources/guides/step-by-step-guide-1">https://www.grievancemechanisms.org/resources/guides/step-by-step-guide-1</a>

Supporting Worker Empowerment - Including Support for Workers' Assertion of their Human Rights - in the Supply Chain	To explore some good practices, advantages and pitfalls related to working with suppliers and other stakeholders to support workers, including in assertion of their human rights	2012	UN Global Compact Human Rights Working Group	Intl Org	Private sector and trade unions	Implementation within supply chain	Any	<a href="https://www.unglobalcompact.org/docs/issues_doc/human_rights/Working_Group/WorkerEmpowerment_GPN.pdf">https://www.unglobalcompact.org/docs/issues_doc/human_rights/Working_Group/WorkerEmpowerment_GPN.pdf</a>
Sustainable Supply Chains: Resources & Practices	To assist business practitioners in embedding sustainability in supply chains		UN Global Compact	Intl Org	Private sector	Implementation within supply chain	Any	<a href="http://supply-chain.unglobalcompact.org">http://supply-chain.unglobalcompact.org</a>
Tackling Modern Slavery in Supply Chain	To provide a practical 'how to' guide on what businesses can do to prevent human trafficking, forced labour, debt bondage and the sale and exploitation of children occurring in their supply chains	2014	Walk Free Foundation	Intl NGO	Procurement, supply chain and sustainability professionals	Implementation within supply chain	Any	<a href="https://www.globalsslaveryindex.org">https://www.globalsslaveryindex.org</a>
The Corporate Responsibility to Respect Human Rights: An Interpretive Guide	To provide additional background explanation to the UNGP to support a full understanding of their meaning and intent	2012	OHCHR	Intl Org	Multi-stakeholder	UNGP General	Any	<a href="http://www.ohchr.org/Documents/Issues/Business/RtR/InterpretiveGuide.pdf">http://www.ohchr.org/Documents/Issues/Business/RtR/InterpretiveGuide.pdf</a>

The factory floor guide to corporate accountability: Seeking redress for labour rights violations in global supply chains	To provide information on some steps, resources available and risks involved when escalating local workplace complaints to the international level	2012	Oxfam	Intl NGO	Workers and their representatives	Greivance mechanisms	Manufacturing industries	<a href="http://resources.oxfam.org.au/pages/download.php?ref=828&amp;size=&amp;ext=pdf&amp;k=&amp;alternative=-1&amp;usage=-1&amp;usagecomment=">http://resources.oxfam.org.au/pages/download.php?ref=828&amp;size=&amp;ext=pdf&amp;k=&amp;alternative=-1&amp;usage=-1&amp;usagecomment=</a>
UN Guiding Principles Reporting Framework	To provide straightforward guidance for companies to report on how they respect human rights	2015	Shift, Mazars	Intl partnership of NGO and private consultancy	Private sector	Due diligence, Reporting	Any	<a href="https://www.ungreporting.org">https://www.ungreporting.org</a>
Understanding Company-Community Relations Toolkit	To enable companies to identify the reasons why community support may be lacking, and develop targeted approaches for improving company-community relationships	2015	International Council on Mining & Metals (ICMM)	Intl industry association	Site-level social performances management	Company-community relationship	Mining	<a href="https://www.icmm.com/website/publications/pdfs/9670.pdf">https://www.icmm.com/website/publications/pdfs/9670.pdf</a>
UNGP on Business and Human Rights	To guide States and companies to prevent and address human rights abuses committed in business operations	2011	OHCHR	Intl Org	States, MNCs	UNGP Resource Hub	Any	<a href="http://www.ohchr.org/Documents/Publications/GuidingPrinciplesBusinessHR_EN.pdf">http://www.ohchr.org/Documents/Publications/GuidingPrinciplesBusinessHR_EN.pdf</a>

UNGP on Business and Human Rights: Employers' Guide	To help employers' organisations understand the UNGP and to start the process of implementation	2012	International Organisation of Employers	Intl Org	Private sector	UNGP General	Any	<a href="http://www.ioeemp.org/fileadmin/ioe_documents/publications/Policy%20Areas/business_and_human_rights/EN/2012-02_UN_Guiding_Principles_on_Business_and_Human_Rights_-_Employers_Guide.pdf">http://www.ioeemp.org/fileadmin/ioe_documents/publications/Policy%20Areas/business_and_human_rights/EN/2012-02_UN_Guiding_Principles_on_Business_and_Human_Rights_-_Employers_Guide.pdf</a>
UNGP Portal	To provide the latest news on implementation of the UNGP, 'Implementation Hub' for key tools, guidance and examples by companies, governments; and 'In-depth Areas' for analysis briefings of the use of UNGP		Business and Human Rights Resource Centre (BHRRC)	Intl NGO	Multi-stakeholder	UNGP Resource Hub	Any	<a href="https://business-humanrights.org/en/un-guiding-principles">https://business-humanrights.org/en/un-guiding-principles</a>
Voluntary Principles on Security and Human Rights: Implementation Guidance Tools	To help companies implement the Voluntary Principles on Security and Human Rights		ICMM, IFC, IPIECA and ICRC	Intl industry association	Companies operating in areas of geographical conflict and weak governance	Human Rights	Mining	<a href="https://www.icmm.com/website/publications/pdfs/2199.pdf">https://www.icmm.com/website/publications/pdfs/2199.pdf</a>

<p>Why human rights matter: a resource guide for integrating human rights into Communities and Social Performance work at Rio Tinto</p>	<p>To facilitate day-to-day operations of in-house Communities and Social Performance (CSP) practitioners in face of human rights issues when engaging with communities</p>	<p>2013</p>	<p>Rio Tinto, in partnership with Centre for Social Responsibility in Mining (CSRMI), part of the Sustainable Minerals Institute at the University of Queensland</p>	<p>Australian-British multinational mining corporation</p>	<p>Private sector: in-house CSP work practitioners</p>	<p>Community</p>	<p>Metals and mining</p>	<p><a href="http://www.riotinto.com/documents/Reports/Publications/Rio_Tinto_human_rights_guide_-_English_version.pdf">http://www.riotinto.com/documents/Reports/Publications/Rio_Tinto_human_rights_guide_-_English_version.pdf</a></p>
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